



PATENTS 112024-0078

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Mark D. Ackerman et al.)
G:-1N 00/072 CCA) Examiner: Not yet assigned
Serial No.: 09/973,664)
Filed: October 9, 2001) Art Unit: 2161
For: SYSTEM AND METHOD FOR CONTROLLING ACCESS TO LICENSED COMPUTING PROCESSES VIA A CODIFIED ELECTRONIC LICENSE	iennoby C. 30s
	Cesari and McKenna, LLP 88 Black Falcon Avenue Boston, MA 02210 (617) 951-2500

CERTIFICATE OF MAILING

I hereby certify that the following paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on November 19, 2001.

Meredith Murray

Honorable Commissioner of Patents and Trademarks

Washington, D.C. 20231

ATTN: Application Processing Division - Customer Correction Branch



PATENTS 112024-0078

Sir:

\$

EST TO CORRECT FILING RECEIPT

One of the inventor's names is incorrect on the Filing Receipt. It should read: Stephen R Carter, Spanish Fork, UT. Note that no period is used on the "R" as this is the inventor's full middle name. Please make the appropriate changes.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§1.16 and 1.17 that may be required, or credit any overpayment, to our Deposit Account No. 03-1237.

Respectfully submitted,

William A. Loginov Reg. No. 34,863 Cesari and McKenna 88 Black Falcon Avenue

Boston, MA 02210 (617) 951-2500

-2-



112024- 6078 NAL



NITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/973,664
 10/09/2001
 2161
 776
 112024-0078
 7
 22
 3

24267 CESARI AND MCKENNA, LLP 88 BLACK FALCON AVENUE BOSTON, MA 02210 RECEIVED

CESARI & MCKENNA

FILING RECEIPT
OC00000007044641

Date Mailed: 11/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mark D. Ackerman, Eagle Mountain, UT; Stephen Carter, Spanish Fork, UT;

Domestic Priority data as claimed by applicant

are the second of the second o

Foreign Applications

If Required, Foreign Filing License Granted 11/08/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Peopology Contest to

Title

System and method for controlling access to licensed computing processes via a codified electronic license

Preliminary Class

705

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I www.uspto.gov

RADEMARK OFFICE THE

Bib Data Sheet

CONFIRMATION NO. 6325

SERIAL NUMBER 09/973,664	FILING DATE 10/09/2001 RULE	C	705	GROI	UP AR1 2161	T UNIT	ATTORNEY DOCKET NO. 112024-0078			
APPLICANTS Mark D. Ackern Stephen R Carl	nan, Eagle Mountain, U [*] ter, Spanish Fork, UT;	Т;								
** CONTINUING DAT	TA ****************	t*						:		
** FOREIGN APPLIC	ATIONS ***********	***								
IF REQUIRED, FORE ** 11/08/2001	EIGN FILING LICENSE	GRANTI	ED		10		,			
Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged Ex	Allowance	ter itials	STATE OR COUNTRY UT	SHEETS DRAWING 7		WING CLAIR		INDEPENDENT CLAIMS 3		
ADDRESS 24267										
TITLE System and method f	or controlling access to	licensed	computing pro-	cesses	⊧via a c	odified e	lectro	nic license		
					☐ All Fees					
FILING FEE FEES: Authority has been given in Paper RECEIVED No to charge/credit DEPOSIT ACCOUNT					1.16 Fees (Filing)					
					1.17 Fees (Processing Ext. of time)					
776 No.] :		☐ 1.18 Fees (Issue)							
					□ Other					
					☐ Credit					



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C. 2023i
www.uspto.gov





Bib Data Sheet

SERIAL NUMBER 09/973,664	FILING DATE 10/09/2001 RULE	CLASS 705	GROUP ART UNIT		UNIT	ATTORNEY DOCKET NO. 112024-0078		
Mark D. Ackerman, Eagle Mountain, UT; Stephen R Carter, Spanish Fork, UT; *** CONTINUING DATA **********************************								
Foreign Priority claimed		UT	SHEETS DRAWING 7		TOTAL CLAIMS 22		INDEPENDENT CLAIMS 3	
ADDRESS 24267								
TITLE System and method for controlling access to licensed computing processes via a codified electronic license								
RECEIVED No.	S: Authority has been given in Paper to charge/credit DEPOSIT ACCOUNT for following:			☐ All Fees ☐ 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of time) ☐ 1.18 Fees (Issue) ☐ Other ☐ Credit				